

02-05-02

PTO/SB/05 (4-98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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01/29/02  
C698 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SD-8355
First Inventor or Application Identifier	GROSS
Title	DIRECT SYNTHESIS OF A CATALYZED HYDRIDE COMPOUND
Express Mail Label No.	ET531594577US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and duplicate for fee processing)
2.  Specification [Total Pages **16**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
4.  Oath or Declaration [Total Pages **2**]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

01/29/02  
JC714 U.S. PTO 10/06/2002  
ADDRESS TO: Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  
(when there is an assignee)
9.  English Translation Document  
(if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \*Small Entity Statement(s)
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: \_\_\_\_\_

Power of Attorney

Copies of IDS Citations

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: /

Prior application information Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. COORESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	0215868		or <input type="checkbox"/> Correspondence address below	
(Insert Customer No. or Attach bar code label here)				
Name	Timothy Evans MS 9031			
Address	Sandia National Laboratories 7011 East Avenue			
City	Livermore	State	CA	Zip Code
Country	USA	Telephone	(925) 294-3690	Fax (925) 294-3389
Name (Print/Type)	Timothy P. Evans	Registration No. (Attorney/Agent)	41,013	
Signature	1/29/02			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FILES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision  
Small Entity payments must be supported by a small entity statement,  
Otherwise large entity fees must be paid. See Forms PTO/SB/09-12

**TOTAL AMOUNT OF PAYMENT** **(\$)****932.00**

## Complete if Known

Application Number	not assigned
Filing Date	01/29/2002
First Named Inventor	GROSS
Examiner Name	not assigned
Group / Art Unit	not assigned
Attorney Docket No.	SD-8355

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge

1.  indicated fees and credit any over payments to:

Deposit Account Number **50-0583**

Deposit Account Name **SNL by KCO**

Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	690	201	Utility filing fee
106	310	206	Design filing fee
107	480	207	Plant filing fee
108	690	208	Reissue filing fee
114	150	214	Provisional filing fee
SUBTOTAL (1)			<b>\$ 740.00</b>

### 2. EXTRA CLAIM FEES

	Extra Claims	Req. Fee	Fee Paid
Total Claims	<b>26</b>	-20**= <b>6</b>	<b>X 18. = 108.</b>
Independent Claims	<b>4</b>	- 3**= <b>1</b>	<b>X 84. = 84.</b>
Multiple Dependent			= <b>  </b>

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

**(\$)****192.00**

## FEE CALCULATION (continued)

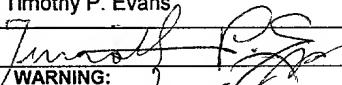
### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840	11 1,840 Requesting publication of SIR after Examiner	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 985 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)**

## SUBMITTED BY

Name (Print/Type)	Timothy P. Evans	Complete (if applicable)	
Signature		Reg Number (Attorney/Agent)	41,013

Date **1/29/02**

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

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